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2 UNITED STATES UTILITY PATENT APPLICATION

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5 A Method, System, and Apparatus for

6 Explicit Control over a Disk Cache Memory

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## BACKGROUND

### 1. Field

The present disclosure pertains to the field of disk cache memory. More particularly, the present disclosure pertains to memory management control over a disk cache memory.

### 2. Description of Related Art

Various applications, such as, databases, computer games and three dimensional (3D) world navigations, require large low-latency memory storage requirements. The preceding applications include complex data structures in order to best utilize the limited available system memory. Typically, it is advantageous for applications to store this data in non-volatile memory since the data is preserved despite system crashes, reboots, or a power fail condition.

Typically, disk drives have been utilized for the preceding applications. However, disk drives have very high latency (wait time for memory operation to be completed). Consequently, the high latency results in poor performance due to constantly retrieving data from the disk drive. Another solution is to use system main memory. However, system main memory is expensive and volatile. Therefore, this will result in low storage capacity and a short data lifetime. Another solution is to use disk caches with a non-volatile type of cache memory. However, cache management policies tend to be inefficient due to pre-configured caching policies and suffer from lack of control over the disk cache memory.

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### Brief Description of the Figures

**[0004]** The present invention is illustrated by way of example and not limitation in the Figures of the accompanying drawings.

**[0005]** Figure 1 illustrates an apparatus utilized in accordance with an embodiment

**[0006]** Figure 2 illustrates a software diagram utilized in accordance with an embodiment.

**[0007]** Figure 3 illustrates a flowchart for a method in accordance with one embodiment.

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## Detailed Description

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82 **[0008]** The following description provides method, system and apparatus for a cache to be  
83 utilized for providing applications with explicit cache memory management control. In the  
84 following description, numerous specific details are set forth in order to provide a more thorough  
85 understanding of the present invention. It will be appreciated, however, by one skilled in the art  
86 that the invention may be practiced without such specific details. Those of ordinary skill in the  
87 art, with the included descriptions, will be able to implement appropriate logic circuits, data  
88 structures, and software algorithms without undue experimentation.

89 **[0009]** As previously described, various problems exist for applications that require large  
90 non-volatile storage requirements with low latency. In contrast, in one aspect, the claimed  
91 subject matter utilizes a non-volatile cache memory (NV Cache) in between a main memory and  
92 a mass storage, such as, a disk drive in one embodiment. Also, the claimed subject matter  
93 depicts a software organization that enables applications for accessing an interface that is  
94 exposed by the NV cache driver for reserving a portion of the NV cache for application use.  
95 Therefore, the claimed subject matter also facilitates and provides the application to have explicit  
96 control over the data in the NV cache. In one embodiment, the NV cache is controlled by a  
97 plurality of predetermined functions that are supported by a driver for indirect or direct  
98 application calls. The predetermined functions are in addition to a standard I/O driver interface  
99 that is utilized by an operating system (OS), and can follow any standard memory management  
100 model. For example, some of the predetermined functions are:

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- Allocate (), Get (), Set(), and Free() function calls

- File creation/deletion and read/write operations.

However, the claimed subject matter is not limited to the function names depicted. Rather, one skilled in the art appreciates utilizing different names for the predetermined functions that serve the same purpose. For example, an Allocate function that serves the purpose of allocating a portion of the memory may be called by a different name, such as, Reserve function that serves the same purpose. Likewise, a Get function that serves the purpose of reading a portion of the memory may be called by a different name, such as, a Read function that serves the same purpose.

#### [0010]

Figure 1 illustrates an apparatus utilized in accordance with an embodiment. In one aspect and embodiment, the apparatus depicts a novel architecture that enables a non-volatile cache (NV cache) to be coupled in between a main memory and a main storage with applications controlling the cache policy and/or a portion of the cache for explicit control by the application. In one embodiment, the apparatus may be implemented in a memory controller. In another embodiment, the apparatus may be implemented in a chipset. In yet another embodiment, the apparatus may be implemented in an application specific integrated circuit (ASIC). Also, the apparatus may be controlled or supervised by a driver in a software algorithm. For example, the software may be stored in an electronically-accessible medium that includes any mechanism that provides (i.e., stores and/or transmits) content (e.g., computer executable instructions) in a form readable by an electronic device (e.g., a computer, a personal digital assistant, a cellular telephone). For example, a machine-accessible medium includes read only memory (ROM); random access memory (RAM); magnetic disk storage media; optical storage media; flash

124 memory devices; electrical, optical, acoustical or other form of propagated signals (e.g., carrier  
125 waves, infrared signals, digital signals).

126 [0011] The main memory 104 is coupled to a central processor unit (CPU) 102 and receives  
127 requests to access data. In some instances, the main memory will not have the requested data  
128 and forwards the request to the data store. In one embodiment, the NV cache 106 is coupled in  
129 between the main memory and the data store to receive the request. Also, in the same  
130 embodiment, the mass storage is a disk drive.

131 [0012] In one embodiment, the NV cache is manufactured with a polymer memory.

132 [0013] In order to illustrate the operation of this apparatus, the next few figures and examples  
133 will clearly illustrate the operation of the NV cache.

134 [0014] Figure 2 illustrates a software diagram utilized in accordance with an embodiment. In  
135 one aspect, the software diagram depicts a software organization that enables applications for  
136 directly accessing an interface that is exposed by the NV cache drive for reserving a portion of  
137 the NV cache for application use. For example, the software organization depicts a mechanism  
138 and interface to an NV cache (as depicted earlier in connection with Figure 1) for application use.  
139 Therefore, the software organization provides applications with explicit management control of  
140 the disk cache memory, NV cache.

141 For example, the software may be stored in an electronically-accessible medium includes any  
142 mechanism that provides (i.e., stores and/or transmits) content (e.g., computer executable  
143 instructions) in a form readable by an electronic device (e.g., a computer, a personal digital  
144 assistant, a cellular telephone). For example, a machine-accessible medium includes read only  
145 memory (ROM); random access memory (RAM); magnetic disk storage media; optical storage

146 media; flash memory devices; electrical, optical, acoustical or other form of propagated signals  
147 (e.g., carrier waves, infrared signals, digital signals).

148 [0015] As previously discussed, the claimed subject matter describes various functions  
149 (interfaces) which the NVcache will implement. One such set of function may comprise the  
150 following:

- 151 • Allocate (), Get (), Set(), and Free() function calls
- 152 • File creation/deletion and read/write operations

153  
154 The allocate function (may also be described as an “interface”) facilitates reserving a  
155 predetermined portion of the NVcache for an explicit control by the application. For  
156 example, the allocate function may be used as follows:

```
157         Int numBytesToReserve = 4000; (allocating # of bytes)
158         NvCacheReservedMem_t* pNvMem;
159         Byte arrBytes [4000];
160
161         pNvMem = Allocate (numBytesToReserve);
162         if (pNvMem is not NULL) { if allocation was successful)
163             then use the reserved(allocated) memory
164
165
```

166 The preceding code illustrates one example of allocating 4000 bytes of memory (based on the  
167 variable numBytesToReserve). However, the claimed subject matter is not limited to neither  
168 allocating 4000 bytes or to the specific function, variable or data structure names. One skilled in  
169 the art appreciates the ease and simplicity of choosing another number of bytes with a different  
170 value for the variable numBytesToReserve based at least in part on the application type. In one  
171 embodiment, the claimed subject matter determines whether the allocation was successful by  
172 checking the status of pNvMem. If the allocation was successful, the set function is initiated.  
173 For example, the set function may be used as follows:

174  
175  
176 initialize arrBytes to data you want to store in pNvMem.  
177 Set (pNvMem, offset=0, numBytes=4000, arrBytes); (using the memory by initializing to  
178 the values stored in arrBytes )  
179  
180

181 The set function allows one to initialize (write) the allocated number of bytes to a  
182 predetermined value. For example, the predetermined value may be stored in arrBytes.  
183 Likewise, one may initialize either a subset of allocated bytes or the entire set of allocated bytes.  
184 Consequently, the set function results in initializing a subset or entire set of reserved non-volatile  
185 memory to predetermined values. Subsequently, the Get function allows one to read the value of  
186 the allocated bytes. The Get function could be utilized after the Set function or at a later point in  
187 time. Also, the Set and Get functions may be utilized once or multiple times on the same or  
188 different portions of the non-volatile cache for the same and/or different applications. For  
189 example, the Get function may be used as follows:

190  
191 Get (pNvMem, offset=0, numBytes=4000, arrBytes);(Doing the read from memory)  
192  
193 ... and at some other time you want to release this memory from the nvCace:  
194

195 Upon completion of a particular application or in the event one decides to utilize the  
196 allocated bytes for another application or the application does not want to exist for the next  
197 iteration, the allocated bytes may be released (deallocated) by utilizing the following Free  
198 function:

199  
200 Free (pNvMem).  
201

202 As previously discussed in Figure 1, the NV cache 106 may support the predetermined  
203 functions of Allocate, Get, Set, and Free by performing the following actions. In one  
204 embodiment, a pin bit is stored per cache-line in the cache-line metadata and  
205 the data allocation is done on a cache-line granularity.



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208 For the allocate, the cache will:  
  
209  
210 Identify if we can reserve so many bytes. If not, return NULL.  
211 Identify cache-lines to use to reserve these bytes.  
212 Flush these cache-lines if they are dirty.  
213 Mark them empty.  
214 Pin these cache-lines  
215 Return a pointer to a structure that identifies the cache-lines reserved  
216 for this request.  
217

218 For the set function the cache will:  
219 NvCacheSet (pNvMem, offset, numBytes, dataBuffer)  
220 Ensure that input params are valid (i.e., not null, and the data region  
221 referenced is in range)  
222 Identify the cache-lines to use.  
223 Copy data from dataBuffer to the applicable cache lines  
224 Mark these lines valid (not empty).  
225

226 For the Get function the cache will:  
227  
228  
229 NvCacheGet (pNvMem, offset, numBytes, dataBuffer)  
230 Ensure that input params are valid (i.e., not null, and the data region  
231 referenced is in range)  
232 Identify the cache-lines to use, and ensure they are valid (not empty).  
233 Copy data from the applicable cache lines into dataBuffer  
234

235 For the Free function the cache will:  
236 NvCacheFree (pNvMem)  
237 Validate input param  
238 Unpin the cache-lines  
239 Mark them invalid  
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244 In an alternate embodiment, initially reserving a section of the cache memory upfront to be  
245 dedicated for application requests. The cache will then perform the following tasks to facilitate  
246 the function:

247 Runs a memory manager on the reserved section of the  
248 cache memory to satisfy the application requests. The cache  
249 may also dynamically change the size of the reserved

portion of the cache memory for best utilization of the cache.

[0016]

[0017] Various embodiments of the claimed subject matter may be provided as a computer program product, which may include a machine-readable medium having stored thereon instructions, which may be used to program a computer, or other electronic devices, to perform processes according to various embodiments. The machine-readable medium may include, but is not limited to, floppy diskettes, optical disks, CD-ROMs, magneto-optical disks, Read-only memory (ROMs), Random-only memory (RAM), Erasable Programmable only memory (EPROMs), Electrically Erasable Programmable only memory (EEPROMs), magnetic or optical cards, flash memory, or another type of media/machine-readable medium suitable for storing electronic instructions.

[0018] The software organization 200 depicts an application 202, such as, but not limited to, a database, three dimensional (3D) Navigator, or video game. The application communicates with an operating system file system 204 and an NV cache Driver 206 directly or indirectly through a software library to perform a function. In one embodiment, the NV cache driver resides in a kernel space. In one embodiment, the application generates an message to the NV cache driver to perform a function. For example, one function may be that the NV cache driver could reserve a first portion of the NV cache 208 to be exclusively used for memory requests for the particular application, while a second portion of the NV cache is utilized as a disk cache and is coupled to the disk drive.

[0019] Figure 3 illustrates a flowchart for a method in accordance with one embodiment. In one aspect, the flowchart depicts reserving a portion of a cache for application memory requests.

275 A first portion of the cache is reserved for application memory requests, as illustrated by a block  
276 302. The reserving of a portion of the cache may be used once or repeated multiple times for the  
277 same or different portions of the non-volatile cache for the same and/or different applications.  
278 In contrast, in one embodiment, a second portion of the cache is reserved to be used as a disk  
279 cache, as illustrated by a block 304. In this embodiment, the second portion of the cache is  
280 coupled to a disk drive. In an alternative embodiment, the second portion of the cache is  
281 reserved for another application rather than for a disk cache. Also, in one embodiment, the  
282 application may be a database, 3D navigator, or game. However, the claimed subject matter is  
283 not limited to the previous applications.

284 [0020]

285 While certain exemplary embodiments have been described and shown in the accompanying  
286 drawings, it is to be understood that such embodiments are merely illustrative of and not  
287 restrictive on the broad invention, and that this invention not be limited to the specific  
288 constructions and arrangements shown and described, since various other modifications  
289 may occur to those ordinarily skilled in the art upon studying this disclosure.